

# Simple 3d SCFTs and simple 3-manifolds

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Based on  
ArXiv: 1610.09259 with Jin-beom Bae (KIAS) and Jaehoon Lee (UBC)  
(+ work in progress with Kazuya Yonekura and Yuji Tachikawa (Kavli-IPMU) )

# Part I : Simple 3d SCFTs

# Simple 3d SCFTs

- $3d \mathcal{N} = 2$  Superconformal field theories

- Algebra :  $osp(2|4) \supset SO(2,3) \times U(1) \supset SO(2) \times SO(3) \times U(1)$

- Fermionic generators :  $Q, \bar{Q}, S, \bar{S}$  .  $\Delta$   $j$   $r$

- Local operator will be labeled by  $[j]_{\Delta}^{(r)} \in \mathcal{H}_{S^2}$

- Basic  $3d \mathcal{N} = 2$  SUSY multiplets : chiral multiplet :  $\Phi (\phi, \psi, F)$  , vector multiplet :  $V (A_{\mu}, \lambda, \sigma, D)$

# Simple 3d SCFTs

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- Basic  $3d \mathcal{N} = 2$  SUSY multiplets : chiral multiplet :  $\Phi (\phi, \psi, F)$  , vector multiplet :  $V (A_{\mu}, \lambda, \sigma, D)$

- "Simple"  $3d \mathcal{N} = 2$  SCFTs

- Technically, *simple* means

or  $\left\{ \begin{array}{l} \text{small } F (:= -\log |Z_{S^3}|) , F\text{-theorem } (F_{UV} \geq F_{IR}) \\ \text{small } C_T ( \langle T(x)T(0) \rangle \propto C_T |x|^{-6} ) , \text{ conformal bootstrap} \end{array} \right.$

- In this talk,

simple SCFTs := SCFTs obtained by "deforming"  $\mathcal{T}_{\mathbb{I}}$  ( "neighborhood of free chiral" )

$\mathcal{T}_{\mathbb{I}} := \{ \text{a theory of single free chiral} \}$

- Motivation : "simpler"  $\simeq$  "more universal"

ex) cWZ model ( $W \sim \Phi^3$ ) in condensed matter [Grover, Sheng, Vishwanath: '13]

# Deformations I

- (*SUSY* preserving) deformations of a 3d  $\mathcal{N} = 2$  SCFT  $\mathcal{T}$

- Superpotential

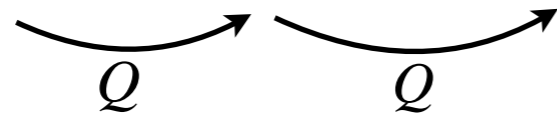
When chiral multiplet  $\subset \mathcal{H}_{S^2}(\mathcal{T})$

- Gauging (+Chern-Simons term)

- Real mass (or FI-term) deformation

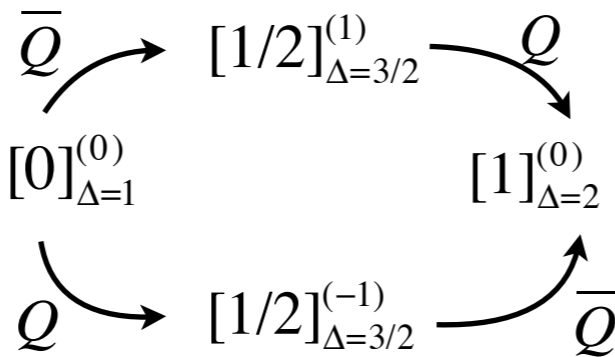
} When conserved current multiplet  $\subset \mathcal{H}_{S^2}(\mathcal{T})$

Chiral multiplet :  $[0]_{\Delta=r}^{(r)} \oplus [1/2]_{\Delta=r+1/2}^{(r-1)} \oplus [0]_{\Delta=r+1}^{(r-2)}$  and its conformal descendents ( $\partial O, \partial^2 O, \dots$ )



ex)  $\mathcal{T}_{\mathbb{I}}$   $\phi^{n \geq 2} ([0]_{\Delta=n/2}^{(n/2)})$

Conserved current multiplet :  $[0]_{\Delta=1}^{(0)}$   $[1]_{\Delta=2}^{(0)} \oplus [0]_{\Delta=2}^{(0)}$  with conformal descendents



ex)  $\mathcal{T}_{\mathbb{I}}$   $\phi\phi^* ([0]_{\Delta=1}^{(0)})$

# Deformations I

- (*SUSY* preserving) deformations of a 3d  $\mathcal{N} = 2$  SCFT  $\mathcal{T}$

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*When chiral multiplet  $\subset \mathcal{H}_{S^2}(\mathcal{T})$*

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} *When conserved current multiplet  $\subset \mathcal{H}_{S^2}(\mathcal{T})$*

- Superpotential deformation on  $\mathcal{T}_{\mathbb{I}}$

$$\mathcal{T}_{\mathbb{I}} \xrightarrow{\delta W = \Phi^{n \geq 2}} \begin{cases} \emptyset, & n = 2 \text{ (mass)} \\ \mathcal{T}_{cWZ} & n = 3 \text{ (relevant)} \\ \mathcal{T}_{\mathbb{I}} & n \geq 4 \text{ (not exactly marginal or irrelevant)} \end{cases}$$

- Real mass deformation on  $\mathcal{T}_{\mathbb{I}}$

$$\mathcal{T}_{\mathbb{I}} \xrightarrow{\text{real mass}} \emptyset \text{ or topological theory (abelian pure CS theory)}$$

# Deformations II

- Witten's  $SL(2, \mathbb{Z})$ : systematic way of gauging  $U(1)$  [Witten : '03]

$\mathcal{T}$  := a 3d SCFT with a  $U(1)$  flavor symmetry

$$\mathcal{T} \xrightarrow{S = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}} S \cdot \mathcal{T}_\varphi := \text{Gauging } U(1) \text{ of } \mathcal{T}_\varphi \text{ (Topological } U(1)_J : J := *F \Rightarrow \partial_\mu J^\mu = 0)$$

$$\mathcal{T} \xrightarrow{T = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}} T \cdot \mathcal{T} := \text{adding background CS-term} + 1.$$

$$SL(2, \mathbb{Z}) : S^2 = C \text{ (charge conj)} , S^4 = \mathbb{I} , (ST)^3 = \mathbb{I}.$$

- $\mathcal{T}_{(p,q)}$

$\mathcal{T}_\mathbb{I}$  := a free chiral theory with background CS level  $-1/2$  ,  $\mathcal{T}_\varphi := \varphi \cdot \mathcal{T}_\mathbb{I}$

$$\mathcal{T}_{(p,q)} := \mathcal{T}_\varphi \text{ with } \varphi = \begin{pmatrix} p & q \\ * & * \end{pmatrix}$$

# Dualities among $\mathcal{T}_{(p,q)}$

- Basic Mirror pair

$$\Phi = (U(1)_{1/2} + \Phi)$$

$V_+$  become gauge-invariant

$\Delta(V_+) = 1/2$  (localization, F – maximization)

$\Rightarrow$  (a free chiral theory)

- Dualities among  $\mathcal{T}_{(p,q)}$ s

$$\mathcal{T}_{ST} = \mathcal{T}_{\mathbb{I}}$$

$$\Rightarrow \mathcal{T}_{\varphi \cdot ST} = \mathcal{T}_{\varphi \cdot \mathbb{I}} \text{ for all } \varphi \in SL(2, \mathbb{Z})$$

$$\Rightarrow \mathcal{T} \begin{pmatrix} p & q \\ * & * \end{pmatrix} \begin{pmatrix} -1 & -1 \\ 1 & 0 \end{pmatrix} = \mathcal{T} \begin{pmatrix} p & q \\ * & * \end{pmatrix}$$

$$\Rightarrow \underline{\mathcal{T}_{p,q} = \mathcal{T}_{q-p,-p}}$$

$$\underline{C \cdot \mathcal{T}_{p,q} = \mathcal{T}_{-p,-q}}$$

$$\underline{P \cdot \mathcal{T}_{p,q} = \mathcal{T}_{q,p}}$$



# SUSY partition functions

- Partition function on ellipsoid  $S_b^3$  [Hama, Hosomichi, Lee : 11]

$$Z_b[\mathcal{T}_{(p,q)}; X] = \frac{1}{\sqrt{q}} \int \frac{dY}{2\pi b} e^{\frac{sX^2}{4\pi i b^2 q} - \frac{XY}{2\pi i b^2 q} + \frac{pY^2}{4\pi i b^2 q}} \psi_b(Y) , \quad (ps - 1 \in q\mathbb{Z} , \psi_b : \text{quantum dilogarithm})$$

$$X = 2\pi b m + 2\pi i(1 + b^2)R_{(p,q)} , \quad R_{(p,q)} := p\Delta_\Phi + q \frac{1 - \Delta_\Phi}{2}$$

Conformal  $R$ -charge  $R_{(p,q)}^*$  is determined by F-maximization

$$\left| Z_{b=1}[\mathcal{T}_{(p,q)}; X = 4\pi i R_{(p,q)}^*] \right| \leq \left| Z_{b=1}[\mathcal{T}_{(p,q)}; X = 4\pi i R_{(p,q)}] \right|$$

# SUSY partition functions

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- Superconformal index [Kim : 09]

$$\mathcal{I}_{(p,q)}(u; x) = \sum_{e \in \mathbb{Z}} \mathcal{I}_\Delta(-qe, pe; x) ((-x^{1/2})^{R_{(p,q)}^*} u)^e . \quad \left( \mathcal{I}_\Delta(m, e; x) := \sum_{n=[e]}^{\infty} \frac{(-1)^n x^{\frac{1}{2}n(n+1) - (n+\frac{1}{2}e)m}}{(x)_n (x)_{n+e}} \right)$$

- Non-trivial test of duality

$$Z_b[\mathcal{T}_{p,q}; X = 2\pi i(1 + b^2)R_{(p,q)}^*] = Z_b[\mathcal{T}_{q-p,-p}; X = 2\pi i(1 + b^2)R_{(q-p,-p)}^*], \dots$$

# Further deformation on $\mathcal{T}_{(p,q)}$

- No relevant chiral multiplet in  $\mathcal{T}_{(p,q)}$

- except  $(p,q) = (1,0), (-1,0), (0,-1), (-1,-1), (1,1) : \mathcal{T}_{\mathbb{I}}, C\mathcal{T}_{\mathbb{I}}, P\mathcal{T}_{\mathbb{I}}$

- Superconformal Index, semi-classical analysis

$$(a_{\Phi}^{\dagger})^k | \text{monopole of charge } n \rangle \in \mathcal{H}_{S^2}(\mathcal{T}_{p,q})$$

carry non-zero spin *unless*  $n = 0$  or  $k = 0$

Unless *quantum* miracle, *no relevant chiral primary*

$$[\text{long}]_{\text{semi-classical}} \rightarrow [\text{short}]_1 \oplus [\text{short}]_2 \oplus \dots$$

(marginal *or* irrelevant) [Cordova, Intriligator : '16]

- So, no superpotential deformation

- Real mass deformation to topological theory

# Summary of Part I

$$\mathcal{T}_{\text{II}} \xrightarrow{\delta W = \Phi^3} \mathcal{T}_{cWZ} \quad \text{(no flavor symmetry)}$$

$$\mathcal{T}_{\text{II}} \xrightarrow{\varphi = \begin{pmatrix} p & q \\ * & * \end{pmatrix}} \mathcal{T}_{(p,q)} \quad \text{(U(1) symmetry)}$$

$$\mathcal{T}_{\text{II}} \xrightarrow{\text{other deformation}} \emptyset \quad \text{or topological theory}$$

# Part II : Simple 3-manifolds

# Curious observation

- Taking  $b \rightarrow 0$  limit ( $\hbar := 2\pi i b^2$ )

$$\begin{aligned} Z_b[\mathcal{T}_{(p,q)}; X=0] &= \frac{1}{\sqrt{q}} \int \frac{dY}{2\pi b} \exp\left[\frac{pY^2}{4\pi i b^2 q}\right] \psi_b(Y) \\ &\xrightarrow{b \rightarrow 0} \frac{1}{\sqrt{q}} \int \frac{dY}{2\pi b} \exp\left[\frac{1}{\hbar} \left(\frac{pY^2}{2q} + \text{Li}_2(e^{-Y})\right) + \dots\right] \\ &\xrightarrow{\text{saddle-point approx}} \exp\left[\frac{1}{\hbar} S^{(0)}(p,q) + \dots\right] \\ \text{Im}[S^{(0)}(-2,1)] &= \underline{-0.942707} \\ \text{Im}[S^{(0)}(4,1)] &= \underline{-0.981369} \quad (X = 2\pi i(1+b^2)) \\ \text{Im}[S^{(0)}(-1,1)] &= \underline{-1.01494} \end{aligned}$$

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closed hyperbolic  
3-manifolds

hyperbolic  
volume

length spectrum

<p>1</p>  <p><math>-3,2</math> <math>-3,2</math> <math>-3,2</math></p>	<p>vol = <u>0.94270736</u></p> <p>CS = 0.06004307</p> <p><math>H_1 = \mathbb{Z}_5 + \mathbb{Z}_5</math></p> <p>Isom = <math>D_6</math></p>	<p>(<math>\times 3</math>) <u>0.58460369 + 2.49537046 i</u> *</p> <p>(<math>\times 3</math>) <u>0.79413466 - 2.30485682 i</u></p>
<p>2</p>  <p><math>5,1</math> <math>5,1</math></p>	<p>vol = <u>0.98136883</u></p> <p>CS = 0.07703818</p> <p><math>H_1 = \mathbb{Z}_5</math></p> <p>Isom = <math>D_2</math></p>	<p>(<math>\times 1</math>) 0.57808244 + 2.13243064 i</p> <p>(<math>\times 1</math>) 0.72156837 - 1.15121299 i *</p> <p>(<math>\times 2</math>) 0.88944300 + 2.94185905 i</p> <p>(<math>\times 2</math>) 0.99832519 - 2.92101779 i</p>
<p>3</p>  <p><math>1,-1</math> <math>2,1</math> <math>1,-1</math></p> <p><math>1,1</math></p>	<p>vol = <u>1.01494161</u></p> <p>CS = 0</p> <p><math>H_1 = \mathbb{Z}_3 + \mathbb{Z}_6</math></p> <p>Isom = <math>S_{16}</math></p>	<p>(<math>\times 2</math>) 0.83144295 - 1.94553076 i</p> <p>(<math>\times 2</math>) 0.83144295 + 1.94553076 i</p> <p>(<math>\times 2</math>) 0.86255463 - 2.68067319 i *</p> <p>(<math>\times 2</math>) 0.86255463 + 2.68067319 i *</p>

from [Craig D. Hodgson and Jeffrey R. Weeks, '94]

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closed hyperbolic  
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hyperbolic  
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length spectrum

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$$\langle W_Q(p,q;b) \rangle = \frac{\int dY \exp\left[\frac{pY^2}{4\pi i b^2 q} + QY\right] \psi_b(Y)}{\int dY \exp\left[\frac{pY^2}{4\pi i b^2 q}\right] \psi_b(Y)}$$

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$\ell_{Q=-1}(-2,1) = \underline{0.584604 - 2.49537 i}$   
 $\ell_{Q=1}(-2,1) = \underline{0.794135 + 2.30486 i}$



# Curious observation

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$$S^{(0)}(-2,1) = -1.1852 - 0.942707i = S_{CS}^{(0),\text{hyp}}(M = \text{Weeks}),$$

$$S^{(1)}(-2,1) = -0.767859 + 0.923278i = S_{CS}^{(1),\text{hyp}}(M = \text{Weeks}),$$

$$S^{(2)}(-2,1) = 0.0462791 + 0.0684314i = S_{CS}^{(2),\text{hyp}}(M = \text{Weeks}),$$

$$S^{(3)}(-2,1) = -0.036626 + 0.0111375i = S_{CS}^{(3),\text{hyp}}(M = \text{Weeks}).$$

$$\int [d\mathcal{A}] \exp\left(\frac{i}{2\hbar} \int_M \mathcal{A} \wedge d\mathcal{A} + \frac{2}{3} \mathcal{A}^3\right) \xrightarrow{\hbar \rightarrow 0, \mathcal{A} = \mathcal{A}^{\text{hyp}} + \delta\mathcal{A}}$$

$$\exp\left(\frac{1}{\hbar} S_{CS}^{(0),\text{hyp}}(M) + S_{CS}^{(1),\text{hyp}}(M) + \hbar S_{CS}^{(2),\text{hyp}}(M) + \dots\right)$$

$$\mathcal{A}^{\text{hyp}} := \omega_{\text{hyp}} - i e_{\text{hyp}}$$

[Bae,DG, Lee : '16]

# Curious observation



$$\mathcal{T}_{-2,1} := (U(1)_{-5/2} + \Phi)$$



$$\mathcal{T}_{4,1} := (U(1)_{7/2} + \Phi)$$



$$\mathcal{T}_{-1,1} := (U(1)_{-3/2} + \Phi)$$

# How??

- There exists a 6d theory  $\mathcal{T}_{6d}$  s.that

$$\mathcal{T}_{6d} \xrightarrow{\text{a compactification on } M=(\text{Weeks})} \mathcal{T}_{(-2,1)}$$

- $\mathcal{T}_{6d}$  has at least  $SO(3)_R$  global symmetry (for top-twisting along M)
- $\mathcal{T}_{6d}$  has maximally SUSY (16Q)  
 $Q \in \mathbf{2}$  of  $SO(3)_M$ , so  $Q \in \mathbf{2}$  of  $SO(3)_R$  which gives  $\frac{1}{4}$  BPS top-twisting
- $\mathcal{T}_{6d}$  is  $A_1(2,0)$  theory!

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- $\mathcal{T}_{6d}$  is  $A_1(2,0)$  theory!

- 3d/3d correspondence

$$(A_1(2,0) \text{ theory}) \xrightarrow{\text{a compactification on } M} T[M]$$

$$\{\text{BPS pts of } T[M]\} = \{SL(2, \mathbb{C}) \text{ complex CS pts on } M\}$$

[Lee, Yamazaki: '13]  
[Cordova, Jafferis: '13]  
from "6d (2,0) on  $S^1 \rightarrow 5d$  SYM"

Loop operators  $\longleftrightarrow$  Wilson loops      from "codimension 4 in 6d  $\rightarrow$  Wilson loops in 5d"

[DG, Romo, Kim, Masahito: '15]

# 3d/3d correspondence for CH3

- 3d/3d correspondence

$$(A_1 (2,0) \text{ theory}) \xrightarrow{\text{a compactification on } M} T[M]$$

$$\{\text{BPS ptns of } T[M]\} = \{SL(2, \mathbb{C}) \text{ complex CS ptns on } M\}$$

- first examples of  $T[M]$ ,  $M =$  closed hyperbolic 3-manifolds (CH3)

$$T[\text{trefoil}] = \mathcal{T}_{-2,1} \quad , \quad T[\text{link 5.1}] = \mathcal{T}_{4,1} \quad , \quad T[\text{link 1.1}] = \mathcal{T}_{-1,1}$$

- Symmetry enhancement

$$6d \ SO(5)_R \rightarrow SO(3)_R \times SO(2)_R \xrightarrow{\text{top twisting}} SO(2)_R \text{ (no flavor sym)}$$

But  $\mathcal{T}_{p,q}$  has  $U(1)$  sym! cf) 2 M5s on genus two Riemman surface

$$\{\text{BPS ptns of } T[M]\} = \{\text{Refined(?) } SL(2, \mathbb{C}) \text{ complex CS ptns on } M\}$$

# Summary & Discussion

Neighborhood SCFTs  
of free chiral theory

$$\mathcal{T}_{\text{II}} \xrightarrow{\delta W = \Phi^3} \mathcal{T}_{cWZ} \quad (\text{no flavor symmetry})$$

$$\mathcal{T}_{\text{II}} \xrightarrow{\varphi = \begin{pmatrix} p & q \\ * & * \end{pmatrix}} \mathcal{T}_{(p,q)} \quad (\text{U(1) symmetry})$$

$$\mathcal{T}_{\text{II}} \xrightarrow{\text{other deformation}} \mathcal{T}_{\text{II}} \text{ or topological theory}$$

Wrapped two M5s on small CH3 (U(1) symmetry enhancement)

$$T\left[\begin{array}{c} -3,2 \\ \text{[link diagram]} \\ -3,2 \end{array}\right] = \mathcal{T}_{-2,1} \quad , \quad T\left[\begin{array}{c} \text{[link diagram]} \\ 5,1 \end{array}\right] = \mathcal{T}_{4,1} \quad , \quad T\left[\begin{array}{c} 1,1 \\ \text{[link diagram]} \\ 1,1 \end{array}\right] = \mathcal{T}_{-1,1}$$

